

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

MILLER, et al.

Serial No.: 10/037,816

Filed: January 3, 2002

Confirmation No.: 6558

Atty. File No.: 50060-00048

For: "OFF AXIS OPTICAL SIGNAL  
REDIRECTION ARCHITECTURES"



Group Art Unit: 2633

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT

<p>CERTIFICATE OF MAILING</p> <p>I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231 ON JULY 24, 2002.</p> <p>MARSH FISCHMANN &amp; BREYFOGLE LLP</p> <p>BY:  Daxmara Sanchez</p>	
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Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to Applicant's duty of disclosure under 37 CFR § 1.56 and 37 CFR §§ 1.97-1.98, Applicant hereby provides a copy of each of the documents identified on the enclosed PTO Form 1449, although Applicant does not admit that any of such documents, alone or in any combination, is considered to be material to patentability as defined in 37 CFR § 1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicant that each such document is prior art as to the above-identified application. Regarding Japanese Patent No. 2617054, a computer generated English language translation thereof obtained from the Japanese Patent Office Web site is attached thereto.

Respectfully submitted,

MARSH FISCHMANN & BREYFOGLE LLP

By: 

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Date: July 24, 2002

# INFORMATION DISCLOSURE CITATION E

(Use several sheets if necessary)

ATTY DOCKET NO.

50060-00048

SERIAL NO.

10/037,816

APPLICANT(S)

Miller, et al.

FILING DATE

January 03, 2002

GROUP

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	3,990,780	11/09/76	DAKSS	350	96	
	2.	4,208,094	06/17/80	TOMLINSON, III, ET AL.	350	96.20	
	3.	4,365,863	12/28/93	BROUSSAUD	350	96.15	
	4.	4,838,631	06/13/89	CHANDE, ET AL.	350	6.6	
	5.	5,583,688	12/10/96	HORNBECK	359	291	
	6.	5,600,383	02/04/97	HORNBECK	348	771	
	7.	5,783,340	07/21/98	FARINO, ET AL.	430	22	
	8.	5,798,283	08/25/98	MONTAGUE, ET AL.	438	24	
	9.	5,804,084	09/08/98	NASBY, ET AL.	216	2	
	10.	5,867,302	02/02/99	FLEMING	359	291	
	11.	5,875,271	02/23/99	LAUGHLIN	385	16	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	A.	2617054	06/04/97	JAPAN	G02B		✓	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	A.	Lucent Technologies, WaveStar LambdaRouter Brochure, 2000, 6 Pages						

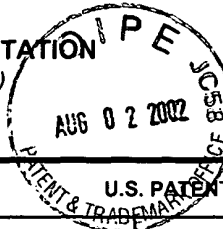
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DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	12.	5,959,375	09/28/99	GARCIA	310	40	
	13.	5,960,132	09/28/99	LIN	385	18	
	14.	5,986,381	11/16/99	HOEN, ET AL.	310	309	
	15.	6,082,208	07/04/00	RODGERS, ET AL.	74	406	
	16.	6,097,859	08/01/00	SOLGAARD, ET AL.	385	17	
	17.	6,133,670	10/17/00	RODGERS, ET AL.	310	309	
	18.	6,175,170	01/16/01	KOTA, ET AL.	310	40	
	19.	6,211,599	04/03/01	BARNES	310	309	
	20.	6,201,629	03/13/01	MCCLELLAND, ET AL.	359	223	
	21.	6,253,001	06/26/01	HOEN	385	17	
	22.	6,289,145	09/11/01	SOLGAARD, ET AL.	385	17	

## FOREIGN PATENT DOCUMENTS

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							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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	23.	6,310,339	10/30/01	HSU, ET AL.	250	214.1	
	24.	6,327,398	12/04/01	SOLGAARD, ET AL.	385	18	
	25.	2001/0048265 A1	12/06/01	MILLER, ET AL.	310	309	
	26.	2001/0051015 A1	12/13/01	GUTIERREZ, ET AL.	385	17	
	27.	2002/0044718 A1	04/18/02	NISHI, ET AL.	385	17	
	28.	2002/0076138 A1	06/20/02	TEW	385	18	
	29.	WO 99/66354	12/23/99	LAOR	G02B		

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